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(54) Title: METHODS OF INDUCING IMMUNE RESPONSE TO AIDS VIRUS

#### (57) Abstract

A safe, effective vaccine will raise antibodies against several parts of the genome of the HIV virus. A protocol for the administration of the vaccine will cause the production of an immune response to protect an individual against several strains of HIV virus. The immunotherapy protocol will cause the individual to develop protective immunity against HIV blocking viral expansion and dissemination in infected individuals. New improved immunogenic compositions are also provided.

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# METHODS OF INDUCING IMMUNE RESPONSE TO AIDS VIRUS

#### SUMMARY OF THE INVENTION

This invention provides means of inducing an immune response to viral antigens as a means to protect against infection. The methods of the invention also induce immune response against viral antigens in infected individuals, thereby slowing the progress of the disease. Of particular importance is protection against human immuno-deficiency virus (HIV), the causative agent of acquired immune deficiency syndrome (AIDS). However, while immunization against the HIV retrovirus is exemplified, such exemplification should not be considered as a limitation on the invention.

#### BACKGROUND OF THE INVENTION

It is known that immune activation of HIV infected T<sub>4</sub> lymphocytes is required for viral release. These cells act as the source for expansion and dissemination of virions in the organisms leading to AIDS. (Zagury, et al., Science, 231, 850-853 (1986)) The viral release which leads to death of infected cells is preceded by a stage where HIV signals are present in the cell membrane. This immunogenic stage is important as a trigger for the cellular reaction against HIV. The reaction leads to the destruction of the infected cells. (Zagury, et al., Proc. Nat. Acad Sci. USA, Vol. 85, pp. 3570-3574 (1988).

Previous immunization protocols have been aimed at establishing a candidate vaccine (rV) expressing HIV proteins followed by a booster of autologous cells infected with rV which have subsequently been fixed. These lead to both humoral and cellular immune responses to HIV. (Zagury, et al., Nature 332, p. 723 (1988).)

The development of a safe and effective vaccine against the AIDS (HIV) virus has become a high priority concern of the scientific and medical community. Rapid progress in the isolation, cloning, and sequencing of the entire genome has shown the remarkable propensity of the HIV strains to mutate, particularly within the viral envelope gene. Since viral envelope proteins are often

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the target for neutralizing antibodies, this extensive variation may play an important role in the interaction between the virus and the host's immune system. For some viruses, such as influenza, rapid mutation is an important means of escape from neutralizing antibodies. mutation results in successive waves influenza epidemics among previously infected populations. visna virus, a sheep retrovirus, the mutation rate is believed to be so rapid as to allow antibody escape during the course of a single chronic infection. mutants arise in humans infected with viral infections, HIV being one example, during the course of multiple rounds of infection, it would be difficult to imagine a vaccine antigen that could keep page with all of the possible variants. Under such circumstances it would be impossible to develop an effective vaccine.

In spite of the observed rapid mutation rate of any virus, it is possible that a virus cannot mutate at certain sites, particularly those serving essential viral functions. For example, the CD4 binding site of HIV has been mapped to three relatively conserved ragions of gp120. Divergent isolates bind soluble CD4 and are inactivated by it, suggesting conservation of the CD4 binding site. Presumably, if neutralizing antibodies were directed against this site or another site responsible for a critical viral function, such antibodies would be active against numerous clinical isolates of the virus. A vaccine capable of eliciting antibodies against a broad spectrum of HIV strains is needed.

Previous work using retroviral immunogenic signals in their native configuration linked to exogenous carriers and/or in water-soluble adjuvants such as alum is well known. These immunizing preparations did not, however, trigger a significant anti-viral group-specific reaction. The lack of group specific response is particularly important when the infectious agent mutates readily and/or when the species is characterized as composed of a large number of strains showing differing antigenic properties.

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#### DESCRIPTION OF THE INVENTION

It is the purpose of the present invention to provide a safe, effective vaccine that will raise antibodies against several parts of the genome of the HIV virus.

It is a further purpose of the invention to provide protocol for administration of a vaccine that causes the production of an immune response which will protect the individual against several strains of HIV virus.

It is also a purpose of the invention to provide an immunotherapy protocol that will cause the patient to develop protective immunity against HIV, which will block viral expansion and dissemination in infected individuals.

It is, additionally, a purpose of the invention to provide new, improved immunogenic compositions.

It has been possible to obtain antibodies against HIV by infecting autologous cells (patient cells) with recombinant virus (rV) carrying HIV segments (envelope, gag, or pol). An example of such a recombinant virus is the virus of Moss. Mackett, et al., J. Virol. 49, 857-864.) Another method of developing immune response to HIV may be accomplished by incubating autologous cells with synthetic peptides to allow peptides to form complexes with HLA antigens on the cell surface, then fixing the cells by usual methods known in the art, e.g., fixation with paraformaldehyde or glutaraldehyde (Zagury, et al, Nature, 332, pp. 728-731 (1988)).

It is also possible to raise an immune response by the administration of compositions containing only the cell-free membranes from autologous cells that have been incubated with the appropriate peptides or have been infected with virus containing recombinant HIV nucleic acid sequences. (See Zagury, Nature, above.) The sequences to which the autologous cells are exposed are usually from the envelope, gag, or pol proteins.

More recently, an immune response has been obtained by the administration of compositions containing

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"cocktails" of several free peptides representing sequences found in HIV proteins. These peptides in aqueous solution can be added to oils to form an emulsion. These compositions have immunogenic properties and can be administered to individuals either in conjunction with administration of preparations containing treated autologous cells or cell free membranes. However, the peptidecontaining emulsions can also be administered alone as a means of raising an immune response in the individual The emulsions are administered parenterally, intramuscularly subcutaneously.

There are several advantages in using the compositions of the present invention either alone or in conjunction with a protocol that includes use of autologous cells that have been exposed in vitro to HIV antigens, or cell membranes obtained therefrom. 1) The "peptide cocktail" approach allows the use of selected amino acid sequences. These sequences may be chosen from regions of the protein that are conserved across the various strains of the organism. Additionally, peptide sequences from several strains may be chosen so that a broad range of viral strains may be used to give broad group protection against HIV virus.

The peptides may be prepared by any means known in the art, such as by recombinant means or by the Merrifield process. The process of preparing the peptides by synthetic means provides not only reliability, but also provides certain economic advantages.

Peptides should be of about 8 to 40 amino acids, with peptides of 12 to 30 amino acids preferred. In addition to the emulsion containing the peptides, protein fragments having molecular weights of over 10,000 can be added to the emulsion or can be given separately from the peptides to stimulate increased antibody response. Of course, adjuvants and other additives known in the art may also be added to the peptide-containing emulsions. Some of the larger segments that can be used include gp160,

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gp41, gp56, gp24, reverse transcriptase (RT), Tat protein, and protease.

While peptides from several strains and differing locations in the HIV proteins can be used, particularly preferred sites are listed below:

Y-N-K-R-K-K-I-H-I-G-P-G-R-A-F-Y-T-T-K-N-I-I-G R-I-G-P-G-R-A-F-V-T-I-G-K Q-K-V-G-K-A-M-Y-A-P-P-I-S-G D-M-V-E-Q-M-H-E-D-I-I-S-L-W-D-Q-S-L-K-P-C 10 W-G-I-K-Q-L-Q-A-R-I-L-A-V-E-R-Y-L-K-D-Q C-X-I-X-Q-I-V-K-M-W-Q-C-V-G-Q-A-I-Y N-T-R-K-S-I-R-I-Q-R-G-P-G-R-A-F-V-T-I-G-K-I-G N-N-T-R-K-S-I-T-K-G-P-G-R-V-I-Y-A-T-G-Q-I-I-G N-N-V-R-R-S-L-S-I-G-P-G-R-A-F-R-T-R-G-K-I-I-G 15 R-I-G-P-G-R-A G-P-G-R-A-F-V-T-I-G-K N-Y-T-R-K-S-V-R-I-G-P-G-Q-A-F-Y-A-T-G-D-I-I-G Q-N-T-R-Q-R-T-P-I-G-L-G-Q-S-L-Y-T-T-R-S-R-I-S  ${\tt N-N-T-R-R-G-I-H-F-G-P-F-Q-A-L-Y-T-T-G-I-I-V-G}$ 

EXAMPLES

#### Example 1

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AIDS or ARC (aids-related complex) patients with 150 - 400 T4 cells per mm<sup>3</sup> were immunized with fixed autologous B cells transformed with EBV (Epstein-Barr Virus) and infected with recombinant vaccinia expressing HIV proteins (env, gag, and pol) on the surface of infected cells. The fixed cells were given by intravenous slow drip infusion (5-7 x 10<sup>7</sup> cells), intramuscularly (10 x 10<sup>7</sup> cells in water suspension in animal oil (squalene, Montanide 708) or subcutaneously.

The vaccinia

vector used is the non-neurotropic strain Lister and the recombinant is produced by the method of Moss (see Mackett, supra.) The patients underwent biweekly physical examination. Blood samples were drawn for preparation of serum and cells for biological investigations including virus neutralizing antibody. T4 cell

#### SUBSTITUTE SHEET

count, cell mediated immunity, and cell mediated cytotoxicity.

#### Example 2

AIDS or ARC patients with 150 - 400 T4 cells per mm<sup>3</sup> received the preparation described in example 1 in conjunction with AZT (600 mg per day). Other conditions were similar to those described in example 1.

#### Example 3

AIDS or ARC patients with 150 - 400 T4 cells per mm<sup>3</sup> received treatment as described in example 1 along with discontinuous AZT at low doses (600 mg per day for 30 days every 90 days). Other conditions were similar to those described in example 1.

#### Example 4

Asymptomatic HIV infected individuals with >500 T4 cells per mm³ received the treatment described in example 1. Other conditions were similar to those described in example 1.

#### Example 5

AIDS or ARC patients with 150 - 400 T4 cells per mm<sup>3</sup> received a mixture of synthetic HIV peptides comprising peptides which constituted immunodominant sites of env, gag, pol (peptide 342-350 according to Ratner). Immunization protocols and patient follow up were as described in example 1.

#### Example 6

Patients described in example 5 were given a continuous low dose of AZT (600 mg/day). Other conditions were similar to those described in example 5.

#### 30 Example 7

Patients treated as described in example 5 were given a discontinuous low dose of AZT (600 mg/day for 30 days every 90 days). Other conditions were similar to those described in example 5.

#### 35 Example 8

Asymptomatic HIV infected individuals with >500 T4 cells per mm<sup>3</sup> were treated as described in example 5.

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#### Example 9

Serongative Patients were treated as described in example 5 except that the synthetic peptides administered were protectively encapsulated as a water-in-oil emulsion. All other conditions were as described in example 5.

#### Example 10

Seronspative Patients were given synthetic peptides as in example 5 except that the peptides were administered as free peptides.

#### 10 Example 11

Sercregative Patients were given synthetic peptides representing HIV epitopes wherein the peptides were covalently linked to an immuno-enhancing moiety. All other conditions were as described in example 5.

#### 15 Example 12

Peptide derivatives having hydrophobic groups consisting of tripalmitoyl cysteine, dipalmitoyl lysine, or a non-viral peptide of alpha helix configuration were administered in accord with the protocol used to administer the peptides in example 5.

#### Example 13

Peptides were administered in accord with example 5. However, the patients were also given recombinant vectors containing HIV nucleic acid sequences at separate sites in conjunction with the peptides.

Example 14

Peptides were given in a composition containing recombinant live vectors containing HIV nucleic acid sequences mixed with the synthetic peptides which represented HIV immunodominant epitopes protectively encapsulated as a water in oil emulsion. The composition was given in the manner described in example 5.

Materials: Montanide is a product of SEPPIC, a division of Cosmetique-Pharmacie, 70, Champs-Elysees, 75008 Paris,

35 France, and is obtainable therefrom.

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#### WHAT IS CLAIMED IS:

- 1. A composition of matter comprising peptides of 8-40 amino acids representing HIV epitopes protectively encapsulated as a water in oil emulsion.
- 2. The composition of claim 1, wherein the peptides are free peptides.
- 3. The composition of claim 1, wherein the peptides are covalently linked to an immunologically enhancing moiety.
- 4. The composition of claim 3, wherein the enhancing moiety is a hydrophobic segment.
  - 5. The composition of claim 4, wherein the hydrophobic segment is selected from the group consisting of tripalmitoyl cysteine, dipalmitoyl lysine, and a non-viral peptide of alpha helix configuration.
  - 6. The composition of claim 1, further comprising a surfactant for stabilizing the emulsion.
  - 7. The composition of claim 1, further comprising HIV immunogenic protein or protein fragments of molecular weight over 10,000.
  - 8. The composition of claim 1, further comprising recombinant live vectors which express recombinant proteins or epitopes of HIV.
  - 9. A composition of matter comprising autologous EBV (Epstein-Barr Virus) transformed B-cells infected with recombinant virus expressing HIV epitopes which have been fixed.
  - 10. A composition of matter comprising autologous RBV (Epstein-Barr Virus) transformed B-cells carrying HIV epitopes at the cell surface which have been fixed.
  - 11. A method of inducing immune response to HIV by administration of an immunogenic effective amount of the composition of claim 1.
- 12. The method of claim 11, wherein a recombinant live vector containing HIV nucleic acid sequences is administered mixed with a peptide-containing emulsion of the composition of claim 1.
  - 13. The method of claim 11, wherein a recombinant

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vector containing HIV nucleic acid sequence is administered along with at least one peptide at a separate site.

- 14. The method of claim 11, used as a part of immunotherapy in AIDS and ARC patients.
- 15. The method of claim 12, used as part of a vaccine protocol for immunoprophylaxis in HIV infected asymptomatic individuals.
- 16. A method of inducing an immune response by administration of an effective amount of a composition of claim 9 or 10 as a part of immunoprophylaxis or immunotherapy for AIDS and ARC patients.
- 17. The method of claim 16, wherein there is administered additionally a composition of claim 1.
- 18. The method of claim 11 or 12, which is used as part of a vaccine protocol.
  - 19. The composition of claim 1, further comprising a surfactant to stabilize the emulsion.
  - 20. The composition of claim 1 or 2, further comprising protein or protein fragments of molecular weight over 10,000 such as Env gp AGO.
  - 21. The composition of claim 1, further comprising recombinant virus which expresses recombinant proteins of HIV.
  - 22. The method of claim 11, wherein the peptides are administered in oil subcutaneously or intramuscularly.
  - 23. A composition comprising free peptides of 8 to 40 amino acids representing HIV epitopes, protectively encapsulated as a water-in-oil emulsion for administration to induce an immune response to HIV.
- 24. The composition according to claim 23, which further comprises a recombinant live vector containing HIV nucleic acid sequences:
- 25. The composition according to claim 23, wherein a recombinant vector containing HIV nucleic acid sequences and at least one peptide is administered separately from said free peptides.
- 26. The composition according to claim 23, for use as part of immunotherapy in AIDS and ARC (Aids Related

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Complex) patients.

- 27. The composition according to claim 24, for use as part of the vaccine protocol for immunoprophylaxis in HIV infected asymptomatic individuals.
- 28. A composition comprising autologous EBV (Epstein-Barr Virus) transformed B cells infected with recombinant virus expressing HIV epitopes which have been fixed or BBV transformed B cells carrying HIV epitopes at the cell surface which have been fixed, for use in inducing an immune response as part of immunoprophylaxis or immunotherapy for AIDS and ARC patients.
- 29. The composition of claim 28, for use with the composition of claim 23 to induce an immune response as part of immunoprophylaxis or immunotherapy for AIDS and ARC patients.
- 30. The composition of claims 23 and 24, for use as part of a vaccine protocol.
- 31. Use of a composition comprising free paptides of 8 to 40 amino acids representing HIV epitopes, protectively encapsulated as a water-in-oil emulsion, in the manufacture of a medicament for inducing an immune response to HIV.
- 32. The use according to claim 31, wherein the composition further comprises a recombinant live vector containing HIV nucleic acid sequences.
  - 33. Use of a composition comprising free peptides of 8 to 40 amino acids representing HIV epitopes, protectively encapsulated as a water-in-cil emulsion in the manufacture of a medicament for use as part of immunotherapy in AIDS and ARC patients.
- 34. Use of a composition comprising free pertides of 8 to 40 amino acids representing HIV epitopes protectively encapsulated as a water-in-oil emulsion and a recombinant live vector containing HIV nucleic acid sequences in the manufacture of a medicament as part of a vaccine protocol for immunoprophylaxis in HIV infected asymptomatic individuals.
  - 35. Use of a composition comprising autologous

EBV (Epstein-Barr Virus) transformed B cells infected with recombinant virus expressing HIV epitopes which have been fixed or EBV transformed B cells carrying HIV epitopes at the cell surface which have been fixed, in the manufacture of a medicament for inducing an immune response as part of immunoprophylaxis or immunotherapy for AIDS and ARC patients.

# INTERNATIONAL SEARCH REPORT

International Application No PCT/EP 91/01

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		11 with indication, who	ere appropriat	e, of the relevant p	passages 12		Relevant to Claim No.13
X EF	EP,A,0346022 (MEDICAL RESEARCH COUNCIL) 13 December 1989, see page 5, lines 1-12; page 2, lines 43-51				9		
Y	iz, page	2, 111103 43	<b>J1</b>				1-8
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"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention filing date.  "E" earlier document but published on or after the international filing date.  "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified).  "O" document referring to an oral disclosure, use, exhibition or other means.  "P" document published prior to the international filing date but later than the priority date claimed.  "It is document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention.  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "A" document member of the same patent family						application but underlying the ned invention nesidered to ned invention ne step when the her such docu-a person skilled	
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OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING <sup>2</sup> This International Searching Authority found multiple Inventions in this International application as follows:							
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those claims of the International application for which fees were paid, specifically claims:							
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# ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

EP 9101225 SA 48771

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 04/12/91

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For more details about this annex : see Official Journal of the European Patent Office, No. 12/82